

CRS and FATCA Self-Certification for Individuals

Switzerland has concluded various agreements on the Automatic Exchange of Information/"Common Reporting Standard" (CRS)¹ with several jurisdictions². Based on these agreements as well as based on the FATCA regulations Swiss banks are obliged to collect information from their clients on their tax domicile resp. on their US tax status. If you require guidance for completing this form, please refer to the Guidance Notes available with the bank (www.cic.ch/en/fatca-crs). The form "CRS and FATCA Self-Certification for Individuals" is intended exclusively for individuals as account holder or beneficial owner. For joint accounts or multiple account holders/beneficial owners, a separate form must be submitted for each account holder/beneficial owner.

Part 1 – Basic Information about the Account Holder / Beneficial Owner

First Name(s): _____

Last Names(s): _____

Date of Birth (dd/mm/yyyy): _____

Residence address:

Street: _____

Post Code: _____

City: _____

Country: _____

Mailing address (if different to residence address):

Street: _____

Post Code: _____

City: _____

Country: _____

If your mailing address is in a different country than your residence address, please explain:

Part 2 – Tax Residence of the Account Holder / Beneficial Owner

Please specify the jurisdiction(s) (**full name, no abbreviations**) in which you are resident for tax purposes and the corresponding tax identification number(s) (TIN):

Jurisdiction: _____ TIN: _____ or TIN unavailable*

Jurisdiction: _____ TIN: _____ or TIN unavailable*

Jurisdiction: _____ TIN: _____ or TIN unavailable*

*Please specify the reason for non-availability of TIN

If the jurisdiction of tax residence does not match the country of the residence address provided in Part 1 of this form or if you have multiple tax residences, please explain:

Part 3 – US Person Status of the Account Holder / Beneficial Owner

Are you a US Person?

No

Yes (please provide Swiss Bank Secrecy Waiver and IRS Form W-9 available under www.cic.ch/en/fatca-crs)

US citizenship (*sole or dual citizenship*)

US residency (*e.g. green card holder, or anyone who meets the "substantial presence test"*)

US place of birth

US person under US tax principles for any other reason (*e.g. dual residency, spouse filing jointly, long-term permanent residency in the US, others*)



¹ A description of CRS as well as your rights and obligations is available under www.cic.ch/en/fatca-crs

² For a list of jurisdictions please refer to <https://www.sif.admin.ch/sif/en/home/themen/internationale-steuerpolitik/automatischer-informationsaustausch.html>

Part 4 – Certification

I certify that I have examined the information on this form and to the best of my knowledge and belief it is true, correct and complete. It is a criminal offence to deliberately provide false information on this form. **I undertake to advise the bank within 30 days of any change in circumstances which affects my tax residence, my US Person status or causes any information contained herein to become incorrect.**

I consent to the processing and disclosure of account information by the bank to competent authorities pursuant to national and international agreements and obligations.

Place and date:

Print name:

Signature:
